

# State Ethics Commission – State of Georgia

## Third Party Reporting Format XML



Release Candidate 1.1

March 17, 2006

## **Introduction**

The Third Party Reporting Format XML (TPRFX) Specification provides a standard framework by which information systems may be configured to support electronic filing of campaign financial disclosure information.

### **Intended Audience**

The intended audience of this document includes information technology analysts and developers who need to specify the conversion of user input into the XML specification schema. Knowledge of eXtensible Markup Language (XML) and Simple Object Access Protocol (SOAP) technologies is assumed and therefore not explained in this document.

### **TPRFX Capabilities**

TPRFX allows organizations to communicate campaign finance disclosure information electronically in a standard format.

### **Forms Reported in the State of Georgia**

#### Form CCDR

The CCDR form is used to report the following campaign contribution information:

- Contributions Received of \$101.00 or More
- Expenditures Made of \$101.00 or More
- Addendum Statement
- Summary of Contributions Received and Expenditures Made
- Summary Outstanding Indebtedness

## TPRFX Format

### CCDR TPRFX Format

The following is a sample SOAP request and response. The **placeholders** shown need to be replaced with actual values.

#### Send to Web Service

POST /wstest/report.asmx HTTP/1.1

Host: www.ethics.state.ga.us

Content-Type: text/xml; charset=utf-8

Content-Length: **length**

SOAPAction: "http://www.ethics.state.ga.us/EFiling/SubmitReport"

```
<?xml version="1.0" encoding="utf-8"?>
<soap:Envelope xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:xsd="http://www.w3.org/2001/XMLSchema"
xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
  <soap:Body>
    <SubmitReport xmlns="http://www.ethics.state.ga.us/EFiling/">
      <Authorization>
        <FilerID>string</FilerID>
        <Password>string</Password>
        <eMail>string</eMail>
      </Authorization>
      <Report>
        <CoverPage>
          <Amending>string</Amending>
          <ReportType>string</ReportType>
          <ReportDate>datetime</ReportDate>
          <ReportYear>int</ReportYear>
          <ElectionYear>int</ElectionYear>
          <AmendmentOrQuestion>string</AmendmentOrQuestion>
          <IndepElectDate>datetime</ IndepElectDate >
          <ThirdPartyName>string</ThirdPartyName>
        </CoverPage>
        <Contributions>
          <ContributionEntry>
            <Type>string</Type>
            <Name>string</Name>
            <FirstName>string</FirstName>
            <Address>string</Address>
            <City>string</City>
            <State>string</State>
            <Zip>string</Zip>
            <PAC>string</PAC>
```

```

    <Occupation>string</Occupation>
    <Employer>string</Employer>
    <Date> datetime </Date>
    <Election>string</Election>
    <ElectionYear>int</ElectionYear>
    <Amount>double</Amount>
    <InKindAmount>double</InKindAmount>
    <InKindDescription>string</InKindDescription>
  </ContributionEntry>
</Contributions>
<Expenditures>
  <ExpenditureEntry>
    <Key>int</Key>
    <Ref>int</Ref>
    <Type>string</Type>
    <Name>string</Name>
    <FirstName>string</FirstName>
    <Address>string</Address>
    <City>string</City>
    <State>string</State>
    <Zip>string</Zip>
    <OccEmp>string</OccEmp>
    <Date> datetime </Date>
    <Purpose>string</Purpose>
    <Election>string</Election>
    <ElectionYear>int</ElectionYear>
    <Paid>double</Paid>
    <Other>double</Other>
  </ExpenditureEntry>
</Expenditures>
<Addendum>string</Addendum>
<Summary>
  <NoContribution>boolean</NoContribution>
  <MonetaryTotal_Begin>double</MonetaryTotal_Begin>
  <MonetaryTotal_Less>double</MonetaryTotal_Less>
  <MonetaryTotal_More>double</MonetaryTotal_More>
  <Loans_Received>double</Loans_Received>
  <InterestEarned>double</InterestEarned>
  <MonetaryTotal_ThisPeriod>double</MonetaryTotal_ThisPeriod>
  <MonetaryTotal_ToDate>double</MonetaryTotal_ToDate>
  <InKindTotal_Begin>double</InKindTotal_Begin>
  <InKindTotal_More>double</InKindTotal_More>
  <InKindTotal_Less>double</InKindTotal_Less>
  <InKindTotal_ThisPeriod>double</InKindTotal_ThisPeriod>
  <InKindTotal_ToDate>double</InKindTotal_ToDate>
  <NoExpenditures>boolean</NoExpenditures>

```

```

    <ExpendituresTotal_Begin>double</ExpendituresTotal_Begin>
    <ExpendituresTotal_Less>double</ExpendituresTotal_Less>
    <ExpendituresTotal_More>double</ExpendituresTotal_More>
    <ExpendituresTotal_ThisPeriod>double</ExpendituresTotal_ThisPeriod>
    <ExpendituresTotal_ToDate>double</ExpendituresTotal_ToDate>
    <OnHand_Balance>double</OnHand_Balance>
    <InKindExpendituresTotal_Begin>double</InKindExpendituresTotal_Begin>
    <InKindExpendituresTotal_Less>double</InKindExpendituresTotal_Less>
    <InKindExpendituresTotal_More>double</InKindExpendituresTotal_More>
    <InKindExpendituresTotal_ThisPeriod>double</InKindExpendituresTotal_ThisPeriod>
    <InKindExpendituresTotal_ToDate>double</InKindExpendituresTotal_ToDate>
  </Summary>
  <Indebtedness>
    <IndebtednessEntry>
      <ElectionYear>int</ElectionYear>
      <ElectionCycle>string</ElectionCycle>
      <Indebtedness_Begin>double</Indebtedness_Begin>
      <Indebtedness_Loans>double</Indebtedness_Loans>
      <Indebtedness_Deferred>double</Indebtedness_Deferred>
      <Indebtedness_Payments_Loan>double</Indebtedness_Payments_Loan>
      <Indebtedness_Credits_Loan>double</Indebtedness_Credits_Loan>
      <Indebtedness_Payments_Deferred>double</Indebtedness_Payments_Deferred>
      <Indebtedness_Close>double</Indebtedness_Close>
    </IndebtednessEntry>
  </Indebtedness>
</Report>
</ SubmitReport >
</soap:Body>
</soap:Envelope>

```

### **Returned from Web Service**

HTTP/1.1 200 OK

Content-Type: text/xml; charset=utf-8

Content-Length: **length**

```

<?xml version="1.0" encoding="utf-8"?>
<soap:Envelope xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:xsd="http://www.w3.org/2001/XMLSchema"
xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
  <soap:Body>
    <SubmitReportResponse xmlns="http://www.ethics.state.ga.us/EFiling/">
      <SubmitReportResult>string</SubmitReportResult>
    </SubmitReportResponse>
  </soap:Body>
</soap:Envelope>

```

## Tag Definitions

**Tag:** <Authorization>

**Description:** Contains elements for Authorization

**Tag:** <FilerID>

**Description:** This tag contains the FilerID assigned to the filer

**Format:** string : max length 12 [C##### / NC#####]

**Tag:** <Password>

**Description:** This tag contains the filers password

**Format:** string : max length 20

**Tag:** <eMail>

**Description:** This tag contains the filers registered email address

**Format:** string : max length 50

**Tag:** <Report>

**Description:** Contains elements for Campaign Contribution Disclosure Report

**Tag:** <CoverPage>

**Description:** Contains elements for Cover Page

**Tag:** <Amending>

**Description:** Report confirmation number of the previous report you are amending,  
if not applicable, leave blank

**Format:** string : max length 25

**Tag:** <ReportType>

**Description:** *\*See Report Codes*

**Format:** string

**Tag:** <ReportDate>

**Description:** Today's date

**Format:** datetime : [MM/DD/YYYY]

**Tag:** <ReportYear>

**Description:** Year the report covers

**Format:** integer : [YYYY]

**Tag:** <ElectionYear>

**Description:** Election year

(Required for Candidate, Constitutional Amendment or Statewide  
Referendum Committee, if not applicable, enter 0)

**Format:** integer : [YYYY or 0]

**Tag:** <AmendmentOrQuestion>

**Description:** Name of proposed Constitutional Amendment or Statewide Referendum  
(Required for Constitutional Amendment or Statewide Referendum Committee)

**Format:** string : max length 255

**Tag:** <IndepElectDate>

**Description:** Election Date

(Required for Independent Committee, if not applicable, leave blank)

**Format:** string : [MM/DD/YYYY]

**Tag:** <ThirdPartyName>

**Description:** Name of Third Party Vendor

**Format:** string : max length 50

**Tag:** <Contributions>

**Description:** Contains elements for Listed Contributions of \$101.00 or More

**Tag:** <ContributionEntry>

**Description:** Contains elements for each Listed Contribution

**Tag:** <Type>

**Description:** *\*See Contribution Codes*

**Format:** string

**Tag:** <Name>

**Description:** Individual's Last Name/PAC Name/Corporation Name or  
if Tag:Type {Common Source}, Common Source

**Format:** string : max length 150

**Tag:** <FirstName>

**Description:** Individual's First Name, if PAC/Corporation, leave blank , or  
if Tag:Type {Common Source}, Specific

**Format:** string : max length 100

**Tag:** <Address>

**Description:** Address of contributor

**Format:** string : max length 150

**Tag:** <City>

**Description:** City of contributor

**Format:** string : max length 100

**Tag:** <State>

**Description:** State of contributor

**Format:** string : max length 2 (State Abbreviation, i.e. GA)

**Tag:** <Zip>

**Description:** Zip of contributor

**Format:** string : max length 10 [#####]

**Tag:** <PAC>

**Description:** PAC affiliation, if not applicable, leave blank

**Format:** string : max length 150

**Tag:** <Occupation>

**Description:** Occupation of contributor, if PAC/Corporation, leave blank

**Format:** string : max length 150

**Tag:** <Employer>

**Description:** Employer of contributor, if PAC/Corporation, leave blank

**Format:** string : max length 150

**Tag:** <Date>

**Description:** Date contribution was received (not date of deposit)

**Format:** datetime : [MM/DD/YYYY]

**Tag:** <Election>

**Description:** *\*See Election Codes*

(Required for Candidate, Constitutional Amendment or Statewide  
Referendum Committee, if not applicable, leave blank)

**Format:** string

**Tag:** <ElectionYear>

**Description:** Election year contribution is applied to

(Required for Candidate, Constitutional Amendment or Statewide  
Referendum Committee, if not applicable, enter 0)

**Format:** integer : [YYYY or 0]

**Tag:** <Amount>

**Description:** Amount of cash contribution, if TAG InKindAmount  $\neq$  0, enter 0

**Format:** double

**Tag:** <InKindAmount>

**Description:** Estimated value of in-kind contribution or Amount Credited on Loan,  
if TAG Amount  $\neq$  0, enter 0

**Format:** double

**Tag:** <InKindDescription>

**Description:** Description of any in-kind contribution received,  
if TAG InKindAmount = 0, leave blank

**Format:** string : max length 250



**Tag:** <Expenditures>

**Description:** Contains elements for Expenditure Made of \$101.00 or More

**Tag:** <ExpenditureEntry>

**Description:** Contains elements for each Expenditure Made.

**Tag:** <Key>

**Description:** Incremental number

**Format:** integer

**Tag:** <Ref>

**Description:** if Tag:Type is {Expenditure, **In-Kind**, Loan Repayment, Refund, Reimbursement, Credit Card, 3<sup>rd</sup> Party, Deferred Payment, Payment on Deferred Expense},  
Tag:Ref = Tag:Key  
if Tag:Type is {End Recipient},  
Tag:Ref = Tag:Key for {Credit Card, Reimbursement, 3<sup>rd</sup> Party} Entry

**Format:** integer

**Tag:** <Type>

**Description:** *\*See Expenditure Codes*

**Format:** string

**Tag:** <Name>

**Description:** Recipient's Last Name or PAC/Company Name

**Format:** string : max length 150

**Tag:** <FirstName>

**Description:** Recipient's First Name, if PAC/Company, leave blank

**Format:** string : max length 100

**Tag:** <Address>

**Description:** Address of recipient

**Format:** string : max length 150

**Tag:** <City>

**Description:** City of recipient

**Format:** string : max length 50

**Tag:** <State>

**Description:** State of recipient

**Format:** string : max length 2 (State Abbreviation, i.e. GA)

**Tag:** <Zip>

**Description:** Zip of recipient

**Format:** string : max length 6 [#####]

**Tag:** <OccEmp>

**Description:** If recipient is individual, list Occupation or Place of Employment, if PAC/Company, leave blank

**Format:** string : max length 50

**Tag:** <Date>

**Description:** Date of expenditure

**Format:** datetime : [MM/DD/YYYY]

**Tag:** <Purpose>

**Description:** General purpose of expenditure

**Format:** string : max length 200

**Tag:** <Election>

**Description:** *\*See Election Codes*

(Required for Candidate, Constitutional Amendment or Statewide Referendum Committee, if not applicable, leave blank)

**Format:** string

**Tag:** <ElectionYear>

**Description:** Year of election expenditure is applied to

(Required for Candidate, Constitutional Amendment or Statewide Referendum Committee, if not applicable, enter 0)

**Format:** integer : [YYYY or 0]

**Tag:** <Paid>

**Description:** Amount of expenditure, if TAG Type is **In-Kind**, End Recipient, or Deferred Payment, enter 0

**Format:** double

**Tag:** <Other>

**Description:** Amount paid to End Recipient, Amount of Deferred Payment, or **Estimated Value of In-Kind Expenditure**

**Format:** double

**Tag:** <Addendum>

**Description:** Any additional information needed to complete an accurate filing of this report

**Format:** string : max length 255

**Tag:** <Summary>

**Description:** Contains elements for Summary Report

**Tag:** <NoContribution>

**Description:** No contributions to report, if no contributions to report, enter True

**Format:** Boolean (True/False)

**Tag:** <MonetaryTotal\_Begin>

**Description:** Beginning cash amount

(Line 1 on Summary Report)

**Format:** double

**Tag:** <MonetaryTotal\_Less>

**Description:** Total cash amount of all contributions of \$101.00 or less received

(Line 4 on Summary Report)

**Format:** double

**Tag:** <MonetaryTotal\_More>

**Description:** Total cash amount of all contributions of \$101.00 or more received

(Line 3 on Summary Report)

**Format:** double

**Tag:** <Loans\_Received>

**Description:** Amount of loan received this period

(Line 3a on Summary Report)

**Format:** double

**Tag:** <InterestEarned>

**Description:** Amount of interest earned on campaign account this period

(Line 3b on Summary Report)

**Format:** double

**Tag:** <MonetaryTotal\_ThisPeriod>

**Description:** Total of cash contributions reported this period

(Line 5 on Summary Report)

**Format:** double

**Tag:** <MonetaryTotal\_ToDate>

**Description:** Total of cash contributions reported to date

(Line 6 on Summary Report)

**Format:** double

**Tag:** <InKindTotal\_Begin>

**Description:** Beginning in-kind estimated value

(Line 1 on Summary Report)

**Format:** double

**Tag:** < InKindTotal\_More>

**Description:** Total in-kind estimated value of all contributions of \$101.00 or more received  
(Line 3 on Summary Report)

**Format:** double

**Tag:** < InKindTotal\_Less>

**Description:** Total in-kind estimated value of all contributions of \$101.00 or less received  
(Line 4 on Summary Report)

**Format:** double

**Tag:** < InKindTotal\_ThisPeriod>

**Description:** Total of in-kind contributions reported this period  
(Line 5 on Summary Report)

**Format:** double

**Tag:** < InKindTotal\_ToDate>

**Description:** Total of in-kind contributions reported to date  
(Line 6 on Summary Report)

**Format:** double

**Tag:** < NoExpenditures>

**Description:** No expenditures to report, if no expenditures to report, enter True

**Format:** Boolean (True/False)

**Tag:** < ExpendituresTotal\_Begin>

**Description:** Total expenditures made and reported prior to this reporting period  
(Line 8 on Summary Report)

**Format:** double

**Tag:** < ExpendituresTotal\_Less>

**Description:** Total amount of all separate expenditures of less than \$101.00 each that were made  
(Line 10 on Summary Report)

**Format:** double

**Tag:** < ExpendituresTotal\_More>

**Description:** Total amount of all expenditures of \$101.00 or less made  
(Line 9 on Summary Report)

**Format:** double

**Tag:** < ExpendituresTotal\_ThisPeriod>

**Description:** Total expenditures reported this period  
(Line 11 on Summary Report)

**Format:** double

**Tag:** < ExpendituresTotal\_ToDate >

**Description:** Total expenditures reported to date  
(Line 12 on Summary Report)

**Format:** double

**Tag:** < OnHand\_Balance>

**Description:** Net balance on hand  
(Line 13 on Summary Report)

**Format:** double

**Tag:** < InKindExpendituresTotal\_Begin>

**Description:** Total in-kind expenditures made and reported prior to this reporting period  
(Line 8 on Summary Report)

**Format:** double

**Tag:** < InKindExpendituresTotal\_Less>

**Description:** Total amount of all separate in-kind expenditures of less than \$101.00 each that were made.  
(Line 10 on Summary Report)

**Format:** double

**Tag:** < InKindExpendituresTotal\_More>

**Description:** Total amount of all in-kind expenditures of \$101.00 or less made  
(Line 9 on Summary Report)

**Format:** double

**Tag:** < InKindExpendituresTotal\_ThisPeriod>

**Description:** Total in-kind expenditures reported this period  
(Line 11 on Summary Report)

**Format:** double

**Tag:** < InKindExpendituresTotal\_ToDate >

**Description:** Total in-kind expenditures reported to date  
(Line 12 on Summary Report)

**Format:** double

**Tag:** < Indebtedness>

**Description:** Contains elements for Outstanding Indebtedness

**Tag:** < IndebtednessEntry>

**Description:** Contains elements for each Outstanding Indebtedness

**Tag:** < ElectionYear>

**Description:** Year of Election outstanding indebtedness covers

**Format:** integer [YYYY]

**Tag:** < ElectionCycle>

**Description:** *\*See Election Codes*

**Format:** string

**Tag:** < Indebtedness\_Begin>

**Description:** Outstanding indebtedness at the beginning of this period

**Format:** double

**Tag:** < Indebtedness\_Loans>

**Description:** Loans received this period

**Format:** double

**Tag:** < Indebtedness\_Deferred>

**Description:** Deferred payment of expenses this period

**Format:** double

**Tag:** < Indebtedness\_Payments\_Loan>

**Description:** Payments made on loans this period

**Format:** double

**Tag:** < Indebtedness\_Credits\_Loan>

**Description:** Credits received on loans this period

**Format:** double

**Tag:** < Indebtedness\_Payments\_Deferred>

**Description:** Payments this period on previously deferred expenses

**Format:** double

**Tag:** < Indebtedness\_Close>

**Description:** Total indebtedness at the close of this reporting cycle

**Format:** double

<b><u>Report Codes</u></b>	<b><u>Description of Reporting Period</u></b>	<b><u>Requirements</u></b>
NEJun30	Non-Election Year - June 30	Candidate / Non-Candidate Com.
NEDec31	Non-Election Year - December 31	Candidate / Non-Candidate Com.
EYMar31	Election Year - March 31	Candidate / Non-Candidate Com.
EYJun30	Election Year - June 30	Candidate / Non-Candidate Com.
EYSep30	Election Year - September 30	Candidate / Non-Candidate Com.
EYOct25	Election Year - October 25	Candidate / Non-Candidate Com.
EYDec31	Election Year - December 31	Candidate / Non-Candidate Com.
RO6DBP	Run-Offs - 6 days before Primary Run-Off	Candidate / Non-Candidate Com.
RO6DBG	Run-Offs - 6 days before General Run-Off	Candidate / Non-Candidate Com.
RO6DBSP	Run-Offs - 6 days before Special Primary Run-Off	Candidate / Non-Candidate Com.
RO6DBS	Run-Offs - 6 days before Special Run-Off	Candidate / Non-Candidate Com.
SE15DBSP	Special Elections - 15 days before Special Primary Run-Off	Candidate / Non-Candidate Com.
SE15DBS	Special Elections - 15 days before Special Run-Off	Candidate / Non-Candidate Com.
SEDEC31	Special Elections - December 31	Candidate / Non-Candidate Com.
CASR75DBE	Constitutional Amendment/Statewide Referendum - 75 days before Date of Election	Const. Amend./Statewide Ref.
CASR45DBE	Constitutional Amendment/Statewide Referendum - 45 days before Date of Election	Const. Amend./Statewide Ref.
CASR15DBE	Constitutional Amendment/Statewide Referendum - 15 days before Date of Election	Const. Amend./Statewide Ref.
CASRDec31	Constitutional Amendment/Statewide Referendum - December 31	Const. Amend./Statewide Ref.
RecallInitial	Recall - (INITIAL REPORT) 15 days after the date when the official recall petition forms were issued to the sponsors	Recall Committee
RecallSecond	Recall - (SECOND REPORT) 45 days after the filing of the initial report	Recall Committee
RecallThird	Recall - (THIRD REPORT) No more than 20 days after the election superintendent certifies legal sufficiency or insufficiency of a recall petition	Recall Committee
RecallFinal	Recall - (FINAL REPORT) Prior to December 31 of the year in which the recall election is held, or, in any case where such recall election is NOT held, prior to December 31 of any year in which such campaign committee accepts such contributions or makes such expenditure	Recall Committee
Indep2Month	Two Months prior to the Election (File on the first day of that month)	Independent Committee
Indep1Month	One Month prior to the Election (File on the first day of that month)	Independent Committee
Indep2Week	Two Weeks prior to Election	Independent Committee
IndepJun30	June 30 [Supplemental Report]	Independent Committee
IndepDec31	December 31 [End of Year Report / Supplemental Report]	Independent Committee

<b><u>Election Codes</u></b>
Primary
General
Special
Special Primary
Run-Off Primary
Run-Off General
Run-Off Special
Run-Off Special Primary
<b><u>Contribution Type Codes</u></b>
Monetary
In-Kind
Loan
Common Source
Credit Received on Loan
<b><u>Expenditure Type Codes</u></b>
Expenditure
In-Kind
Loan Repayment
Refund
Reimbursement
Credit Card
3rd Party
Deferred Payment
Payment on Deferred Expense
End Recipient